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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/881,145	06/14/2001	Craig Partridge	BBNT-P01-368	8070

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EXAMINER

TIV, BACKHEAN

ART UNIT	PAPER NUMBER
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2151

DATE MAILED: 04/20/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 09/881,145	Applicant(s) PARTRIDGE ET AL.	
	Examiner Backhean Tiv	Art Unit 2151	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12/17/04.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) 11, 12, 21, 22 and 25 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-10, 13-20, 23 and 24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>1/05, 6/01</u> . | 6) <input type="checkbox"/> Other: _____ |

Detailed Action

Claims 1-25 are pending in this application. This action is a response to the election filed on 12/17/04. Claims 11, 12, 21, 22, 25 are withdrawn from consideration.

Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-9,10,13-20,23-24, drawn to the transfer of data packets from one location to another, classified in class 709, subclass 231.
- II. Claims 11,12, drawn to a modifying information or program based on the data packets, classified in class 709, subclass 228.
- II. Claims 21,22,25, drawn to determining intruding data packets into the network, classified in class 709, subclass 250.

The applicant has elected Group 1 without traverse for examination.

Information Disclosure Statement

The IDS filed on 6/14/01 and 1/18/05 has been considered.

Provisional Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

"A later patent claim is not patentably distinct from an earlier patent claim if the later claim is obvious over, or anticipated by, the earlier claim. In re Longi, 759 F.2d at 896, 225 USPQ at 651 (affirming a holding of obviousness-type double patenting because the claims at issue were obvious over claims in four prior art patents); In re Berg, 140 F.3d at 1437, 46 USPQ2d at 1233 (Fed. Cir. 1998) (affirming a holding of obviousness type double patenting where a patent application claim to a genus is anticipated by a patent claim to a species within that genus). " ELI LILLY AND COMPANY v BARR LABORATORIES, INC., United States Court of Appeals for the Federal Circuit, ON PETITION FOR REHEARING EN BANC (DECIDED: May 30, 2001).

Claims 1-34 of Application #09/881,074 contains every element of claims 1-9,10,13-20,23-24 of this instant application and as such anticipates claims 1-9,10,13-20,23-24 of the instant application. It is noted that claims of application 09/881,074 are allowable, the application has not been issued a patent number.

Claims 1-47 of Application 10/251,403 contains every element of claims 1-9,10,13-20,23-24 of this instant application and as such anticipates claims 1-9,10,13-20,23-24 of the instant application.

Claims 1-37 of Application 10/655,245 contains every element of claims 1-9,10,13-20,23-24 of this instant application and as such anticipates claims 1-9,10,13-20,23-24 of the instant application.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 23-24 are rejected under 35 U.S.C. 102(b) as being anticipated by US Patent 6,038,233 issued to Hamamoto et al.(Hamamoto).

As per claim 23, Hamamoto teaches in a device operatively coupled to a network, a computer-readable data signal having a body portion for use in identifying an ingress location of a target packet in said network, said body portion comprising(Fig.1-13): a hash value identifying said target packet as detected by said device(Fig.7; col.5, lines 66-col.6, line 67), said hash value of said target packet having been computed by said device(Figs.1-4); and identification information about said device(Fig.11A,B).

As per claim 24, the computer-readable data signal of claim 23 further comprising a header portion, said header portion comprising: a network address(Fig.11A,B).

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-5,7,10,13,14,17,19, 23-24 are rejected under 35 U.S.C. 102(e) as being anticipated by US Patent 6,389,419 issued to Wong et al.(Wong)

As per claim 1,10, Wong teaches a network carrying a plurality of packets over at least one network link, said network including a computer, a first network component

having memory and a processor and configured to store information in said memory about at least one of said plurality of packets, and a second network component, a method for detecting a target packet(Figs,1-8) comprising:

receiving said at least one of said plurality of packets over said link to obtain a received packet(col.3, lines 55-63);

determining a hash value of at least a portion of said packet(col.3, line 60-col.4, line 9);

using said hash value to identify a location in said memory(col.3, line 60-col.4, line 9);

setting a flag in said memory, said flag associated with said location(col.6, lines 9-15);

receiving a query message identifying a target packet at said first network component(Fig.1A, col.4, line 17-28, col.5, lines 59-col.6, line 4);

said first network component using said flag in processing said query message to determine if said target packet has been encountered(col.6, lines 4-46);

creating a reply if said target packet has been encountered(col.6, lines 9-12); and

said first network component making said reply available to said network if said target packet has been encountered(col.6, lines 26-37);

As per claim 2, the method of claim 1 and wherein making said reply available to said network includes forwarding said reply to said second network component(col.1, line 64-67).

As per claim 3, the method of claim 2 and wherein said second network component is a computer(Fig.1A).

As per claim 4, the method of claim 1 and wherein said reply contains a network address for said first network component(Fig.1A).

As per claim 5, the method of claim 1 and wherein said hash value is determined over the entire packet(col.5, lines 65-col.6, line 3).

As per claim 7,17 wherein said network is an Internet Protocol (IP) network(col.7, lines 55-56).

As per claim 13, Wong teaches an a network carrying a plurality of packets over at least one link, said network including a plurality of devices and a system operatively coupled to said link, said system for assisting with the location of an intrusion point of a target packet in said network(Figs.1-8), said system comprising:

a first interface for receiving at least one of said plurality of packets to obtain at least one received packet(col.3, lines 55-col.4, line 8);

a second interface for placing a subset of said at least one received packet onto said link(col.5, lines 43-67);

a bus communicatively coupled to said first interface and said second interface(Fig.1A);

a memory communicatively coupled to said bus, said memory storing information about said at least one received packet in a machine-readable form(Fig.1B);

a processor communicatively coupled to said bus and said memory, said processor executing machine-readable instructions for processing said at least one received packet(col.3, lines 55-col.4, line7);

a plurality of first hash values, each one of said plurality of first hash values determined from said at least one received packet respectively(col.4, lines 17-28);

a second hash value determined from at least a portion of said target packet(col.6, lines 5-25);

and a reply made available to certain of said devices in said network using said second interface, said reply made in response to comparing said second hash value to each one of said plurality of first hash values(col.6, lines 4-46).

As per claim 14, the system of claim 13 and wherein said first interface and said second interface are combined into a single bi-directional interface(col.4, lines 17-28).

As per claim 19, the system of claim 13 and wherein said reply is a positive reply if said second hash value matches at least one of said plurality of first hash values(col.6, lines 4-67).

As per claim 23, Wong teaches in a device operatively coupled to a network, a computer-readable data signal having a body portion for use in identifying an ingress location of a target packet in said network, said body portion comprising(Fig.1-8): a hash value identifying said target packet as detected by said device(col.3, lines 55-67), said hash value of said target packet having been computed by said device(Figs.1-8, col.3, lines 55-col.4, line 10); and identification information about said device(col.1, lines 30-45).

As per claim 24, the computer-readable data signal of claim 23 further comprising a header portion, said header portion comprising: a network address(col.1, lines 58-67).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 6,8,16 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,389,419 issued to Wong et al.(Wong) in view of US 6,842,861 issued to Cox et al.(Cox).

Wong teaches all the limitations of claims 1, 10,13, however does not teach as per claim 6, the method of claim 1, further comprising: determining if said received packet has undergone a transformation, such transformation having occurred if a first hash value of at least a portion of said packet computed at a first time is not equal to a second hash value of at least a portion of said packet computed at a second time, said second time occurring after said first time.

Cox teaches determining if said received packet has undergone a transformation, such transformation having occurred if a first hash value of at least a portion of said packet computed at a first time is not equal to a second hash value of at least a portion of said packet computed at a second time, said second time occurring after said first time(col.2, lines 34-41).

Therefore it would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teaching of Wong to add determining if said received packet has undergone a transformation, such transformation having occurred if a first hash value of at least a portion of said packet computed at a first time is not equal to a second hash value of at least a portion of said packet computed at a second time, said

second time occurring after said first time as taught by Cox in order to determine infected files(Cox, col.2, lines 34).

One ordinary skilled in the art at the time of the invention would have been motivated to combine Cox and Wong in order to provide a system to detect a file with a virus(Cox. Col.1, lines 5-67).

As per claim 8,16 wherein said link is a wireless link and network is a wireless network(Cox, Fig.1).

Therefore it would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teaching of Wong to add wherein said link is a wireless link and network is a wireless network as taught by Cox in order to send data from wireless devices.

One ordinary skilled in the art at the time of the invention would have been motivated to combine Cox and Wong in order to provide a system to use wireless devices to send data.

Claims 9,15,18,20 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,389,419 issued to Wong et al.(Wong) in view of Office Notice.

Wong teaches all the limitation of claims 1, 13, however does not explicitly teach as per claim 9, the method of claim 1 and wherein said first network component is a router, 15, the system of claim 13 and wherein said reply is made available to another network, 18, the system of claim 13 and wherein said processor is an ASIC, 20, the

system of claim 13 and wherein said reply is forwarded to those of said devices one hop away.

Office Notice is taken; it is obvious to one ordinary skilled in the art at the time of the invention to use routers as a network component in order expedite message delivery and to transmit messages and forward them to their correct destinations over the most efficient available route.

It would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teachings of Wong and use routers in order to provide a system to correctly transmit messages from one location to another.

Office Notice is taken; it is obvious to one ordinary skilled in the art at the time of the invention to send replies to another network in order for other computers on a different network to receive information about the current network.

It would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teachings of Wong and send data to other networks in order to provide a system to ensure other networks would know the current status of certain network components on the system of the user.

Office Notice is taken; it is obvious to one ordinary skilled in the art at the time of the invention to use ASIC as the processor in order to connect gates on the chips for a specific function.

It would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teachings of Wong and use ASIC as the processor in order to

provide a system to change the pattern of connections on the chip which can make the chip suitable for many needs.

Office Notice is taken; it is obvious to one ordinary skilled in the art at the time of the invention to reply is forwarded to those of said devices one hop away in order to inform the devices closest to the sending device about information on the network.

It would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teachings of Wong and the reply is forwarded to those of said devices one hop away in order to provide a system to inform devices of information

Claims 1-5,7,9,10,13-15,17,19, are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,389,419 issued to Wong et al.(Wong) in view of US 5,959,976 issued to Kuo.

As per claim 1,10,13 Wong teaches a network carrying a plurality of packets over at least one network link, said network including a computer, a first network component having memory and a processor and configured to store information in said memory about at least one of said plurality of packets, and a second network component, a method for detecting a target packet(Figs,1-8) comprising:
receiving said at least one of said plurality of packets over said link to obtain a received packet(col.3, lines 55-63);

determining a hash value of at least a portion of said packet(col.3, line 60-col.4, line 9);
using said hash value to identify a location in said memory(col.3, line 60-col.4, line 9);
receiving a query message identifying a target packet at said first network
component(Fig.1A, col.4, line 17-28, col.5, lines 59-col.6, line 4);
said first network component using said flag in processing said query message to
determine if said target packet has been encountered(col.6, lines 4-46);
creating a reply if said target packet has been encountered(col.6, lines 9-12); and
said first network component making said reply available to said network if said target
packet has been encountered(col.6, lines 26-37); a first interface for receiving at least
one of said plurality of packets to obtain at
least one received packet(col.3, lines 55-col.4, line 8);
a second interface for placing a subset of said at least one received packet onto
said link(col.5, lines 43-67);
a bus communicatively coupled to said first interface and said second interface(Fig.1A);
a memory communicatively coupled to said bus, said memory storing information
about said at least one received packet in a machine-readable form(Fig.1B);
a processor communicatively coupled to said bus and said memory, said processor
executing machine-readable instructions for processing said at least one received
packet(col.3, lines 55-col.4, line 7);
a plurality of first hash values, each one of said plurality of first hash values
determined from said at least one received packet respectively(col.4, lines 17-28);

a second hash value determined from at least a portion of said target packet(col.6, lines 5-25);

and a reply made available to certain of said devices in said network using said second interface, said reply made in response to comparing said second hash value to each one of said plurality of first hash values(col.6, lines 4-46).

However, Wong does not explicitly teach setting a flag in said memory, said flag associated with said location.

Kuo teaches setting a flag in said memory, said flag associated with said location(Abstract).

Therefore it would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teachings of Wong to add setting a flag in said memory, said flag associated with said location as taught by Kuo in order to determine whether a data packet is allowed to pass or block(Kuo, col.6, lines 43-45).

One ordinary skilled in the art at the time of the invention would have been motivated to combine Wong and Kuo in order to provide a system to determine which data packets will be blocked or passed to another device(Kuo, col.6, lines 43-45).

As per claim 2, the method of claim 1 and wherein making said reply available to said network includes forwarding said reply to said second network component(Wong, col.1, line 64-67).

As per claim 3, the method of claim 2 and wherein said second network component is a computer(Wong, Fig.1A).

As per claim 4, the method of claim 1 and wherein said reply contains a network address for said first network component(Wong, Fig.1A).

As per claim 5, the method of claim 1 and wherein said hash value is determined over the entire packet(Wong, col.5, lines 65-col.6, line 3).

As per claim 7,17 wherein said network is an Internet Protocol (IP) network(Wong, col.7,lines 55-56).

As per claim 9, the method of claim 1 and wherein said first network component is a router(Kuo, Fig.1). Motivation to combine set forth in claim 1.

As per claim 14, the system of claim 13 and wherein said first interface and said second interface are combined into a single bi-directional interface(Wong, col.4, lines 17-28).

As per claim 15, the system of claim 13 and wherein said reply is made available to another network(Kuo, Fig1).Motivation to combine set forth in claim 13.

As per claim 19, the system of claim 13 and wherein said reply is a positive reply if said second hash value matches at least one of said plurality of first hash values(Wong, col.6, lines 4-67).

Claim 6,8,16 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,389,419 issued to Wong et al.(Wong) in view of US 5,959,976 issued to Kuo in further view of US 6,842,861 issued to Cox et al.(Cox).

Wong in view of Kuo teaches all the limitations of claims 1, 10,13, however does not teach as per claim 6, the method of claim 1, further comprising: determining if said

received packet has undergone a transformation, such transformation having occurred if a first hash value of at least a portion of said packet computed at a first time is not equal to a second hash value of at least a portion of said packet computed at a second time, said second time occurring after said first time.

Cox teaches determining if said received packet has undergone a transformation, such transformation having occurred if a first hash value of at least a portion of said packet computed at a first time is not equal to a second hash value of at least a portion of said packet computed at a second time, said second time occurring after said first time(col.2, lines 34-41).

Therefore it would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teaching of Wong in view of Kuo to add determining if said received packet has undergone a transformation, such transformation having occurred if a first hash value of at least a portion of said packet computed at a first time is not equal to a second hash value of at least a portion of said packet computed at a second time, said second time occurring after said first time as taught by Cox in order to determine infected files(Cox, col.2, lines 34).

One ordinary skilled in the art at the time of the invention would have been motivated to combine Cox, Kuo, and Wong in order to provide a system to detect a file with a virus(Cox. Col.1, lines 5-67).

As per claim 8,16 wherein said link is a wireless link and network is a wireless network(Cox, Fig.1).

Therefore it would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teaching of Wong in view of Kuo to add wherein said link is a wireless link and network is a wireless network as taught by Cox in order to send data from wireless devices.

One ordinary skilled in the art at the time of the invention would have been motivated to combine Cox, Kuo, and Wong in order to provide a system to use wireless devices to send data.

Claims 18, 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,389,419 issued to Wong et al. (Wong) in view of US 5,959,976 issued to Kuo in further view of Office Notice.

Wong in view of Kuo teaches all the limitation of claims 1, 13, however does not explicitly teach, 18, the system of claim 13 and wherein said processor is an ASIC, 20, the system of claim 13 and wherein said reply is forwarded to those of said devices one hop away.

Office Notice is taken; it is obvious to one ordinary skilled in the art at the time of the invention to use ASIC as the processor in order to connect gates on the chips for a specific function.

It would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teachings of Wong in view of Kuo and use ASIC as the

processor in order to provide a system to change the pattern of connections on the chip which can make the chip suitable for many needs.

Office Notice is taken; it is obvious to one ordinary skilled in the art at the time of the invention to reply is forwarded to those of said devices one hop away in order to inform the devices closest to the sending device about information on the network.

It would have been obvious to one ordinary skilled in the art at the time of the invention to modify the teachings of Wong in view of Kuo and the reply is forwarded to those of said devices one hop away in order to provide a system to inform devices of information


Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO-892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Backhean Tiv whose telephone number is (571)272-3941. The examiner can normally be reached on 9 A.M.-12 P.M. and 1 -6 P.M. Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Zarni Maung can be reached on (571) 272-3939. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Backhean Tiv
2151
4/14/05


ZARNI MAUNG
SUPERVISORY PATENT EXAMINER